



POLITICALLY EXPOSED PERSON (PEP) DECLARATION

Account Holder Name:

I/we would like to open a trading account with **Tigris Gold Refinery FZE**. I confirm having read the definition of PEP as below and confirm my status by ticking the appropriate item as per point 2 and also by responding to your questions.

1. Definition of PEP

Defined are natural persons who have been entrusted with prominent functions either in the United Arab Emirates, in a foreign country or in an international organization such as:

- (1) Head of State or Government; Ministers, Second Ministers or Ministers of State, Members of Parliament, or Nominated Members of Parliament, Director / General or Senior Politician or High-Ranking Official in the public administration, the judiciary, the armed forces or political party; Senior Executive Officer of a state-owned enterprise of national importance, publicly owned Companies or Entities (including Charitable Organizations, Societies or Associations).
- (2) Immediate family members of such persons as set out under such as: the spouse, son / adopted son or stepson, daughter / adopted daughter or stepdaughter, father or step-father, mother or step-mother, brother or adopted brother or step-brother; and sister or adopted sister or step-sister.
- (3) Any natural person who has joint beneficial ownership of legal entities or legal arrangements including trusts, or any other close business relations with a person as set out under (1) & (2).
- (4) Any natural person who has sole beneficial ownership of legal entity, which is known to have been set up for the benefit de facto of the persons set out under (1) & (2).

2. Please tick as appropriate:

- a) I hereby declare that I am not a Politically Exposed Person as defined above.
- b) I hereby declare that I am a Politically Exposed Person and I hold / held Prominent Public Office as stated above.
- c) I hereby declare that I have / had personal or business relations with any such persons as stated above.
- d) I hereby declare that I have / had a close family likely to be involved with any such persons as stated above.

If you ticked b, c or d above, please give full details (name of person, position, domicile, contact details)

Accuracy of Information:

I hereby certify that the information provided herein are accurate and I undertake to provide you with any change that could affect directly or indirectly my current declaration upon the change being effective I hereby declare that the information provided herein cancel and supersede any information I may have previously provided to **Tigris Gold Refinery FZE** by virtue of any signed agreement and/or document and/or form.

Company Name:

Authorized Signatory Signature and Company Stamp:



TIGRIS GOLD REFINERY FZE

DECLARATION OF SOURCE OF FUNDS AND SOURCE OF WEALTH

I/ We, the Authorized Signatory of _____ and the undersigned hereby confirm that the accumulated wealth is derived from legitimate sources, which are not linked and/or derived from criminal origin, of whatsoever nature, and in particular do not constitute the proceeds of money laundering or terrorist financing. The origins of my accumulated wealth are provided below in this document.

I/ We, declare that the source of funds that I/we possess are from (Tick the appropriate)

Salary	Inheritance
Business Income	Investment Income
Dividend	Profits from property sale
Interest	Rental Income
Others:	

I / We declare that information provided in this form is true and complete. I agree to provide the Tigris Gold Refinery FZE with any further information or supporting documentary evidence in respect of the sources of wealth upon request.

Full name of the individual:

Signature of the individual:

Note: The Details of the supporting documents that we would require based on your selection are provided in Annexure 1.



Annexure 1

SL No	Type of Income	Details Required (Any Two)
1	Salary	Pay Slips Salary Certificate Bank Statement
2	Business Income	Audited Financials Trade License Copy Chamber of Commerce Registration Tax Registration Nature of Business Bank Statement
3	Dividend	Dividend Letter Bank Statement Proof of share purchase
4	Interest	Investment Proof Deposit Proof Bank Statement
5	Inheritance	Solicitor \ lawyer's signed letter Benefactors source of income Proof of relationship
6	Investment Income	Share certificate Contract note/statement Bank statement Proof of Liquidation
7	Profits from property sale	Title deed from the land registry Sale Agreement copy Valuation Certificate Bank Statement
8	Rental Income	Title deed Copy Ejari Copy Lease agreement Rental receipts Declaration from real estate management entity
9	Other Source of Income <ul style="list-style-type: none">• Pension• Family Support• Compensation payment• Gifts• Divorce Settlement• Lottery Winning Settlement• Sale of Family Antiques	Based on the Other source of Income the required supporting documents would be informed accordingly.

TIGRIS GOLD REFINERY FZE



Date:

STATEMENT OF CONFORMANCE OF RECYCLED GOLD ORIGIN

_____ confirms that, based on our KYC due diligence practices, our recycled gold does not originate from illegal sources and is in conformance with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and the Supplement on Gold and with Dodd-Frank Act's Section 1502 on conflict minerals requirements.

Our precious metal originates from the following countries:

1.	6.
2.	7.
3.	8.
4.	9.
5.	10.

We confirm the gold sent is not:

- Sourced, mined, refined, re-melted, or manufactured in Venezuela after November 1st, 2017
- Sourced, mined, refined, re-melted, or manufactured in any Conflict Zone or Sanctioned Countries.
- In conflict with any sanctions against Russia, Russian legal entities, and persons, and is accompanied by documents which can prove this.

_____ also confirms to keep record of all customers' data according to the local AML laws.

Authorised Signatory:

Name:

Signature:

Stamp:



GOLD DECLARATION FORMS

Customer/Beneficiary Owner hereby declares and covenants that it is the beneficial owner of good to be delivered to **Tigris Gold Refinery FZE** or, if it is not, then that beneficial owner of such goods is _____(name/tradename,country/nationality), that its funds held in banks and its companies do not directly or indirectly fall within the scope of the sanctions imposed by the Security Council of the United Nations and have not violated and do not violate any sanction of the security council of the United Nation and the money laundering laws of any jurisdiction in the World and that such funds are not related in any way with the sanctions imposed by the resolutions of the Security Council of the United Nations, that it has acquired such goods from persons who have produced evidence that they are the legitimate owners of the goods; that it has taken all necessary measures to prevent its acquisition and trade of goods obtained thought or related to criminal activities, or that fund, promote or cover up criminal activities; and that it has not financed conflict, have not participated in abuse of human rights or money laundering, nor financed terrorism at any point in the supply chain or have directly/indirectly supported non-state armed groups or public or private security forces; that it has received such goods in compliance with the relevant legislation of their country of origin; and that it does not fraudulently misrepresent the origin of gold; that it does not use child labour to carry out any work or service; that it complies with applicable environmental regulation and fulfils all legal provisions regarding protection of environment and sustainable development; that it does not offer, promise or give any undue advantage, and/or bribe whether directly or through intermediaries, to a foreign public official or a private sector employee in order to obtain business or any other advantage; and that in case of a corporate entity customer/beneficial owner, that it complies with OECD'S due diligence and for responsible supply chains of minerals from conflict affected and high risk areas and gold supplement guidance, LBMA responsible gold guidance; have not participated in systematic or wise spread human right abuses with extraction transport or trade of gold direct or indirect support to non-state, armed groups or public or private security forces, bribery and fraudulent misrepresentation of the origin of gold, contribution to conflict, the customer/beneficial owner makes this present declaration on behalf of its shareholders, members of the board, its employees and representatives, and that it undertakes to carry out all of the activities within the scope of this declaration in such a way that its providers, its consultants, customers and other associates, abide by these principles, and that it shall advise **Tigris Gold Refinery FZE** of any change to this declaration.

You will remain responsible and accountable for certification and external audit of supplied precious metals to Tigris Gold Refinery FZE. If any of the foregoing is discovered to be false, customer/beneficiary owner agrees and covenants to indemnify **Tigris Gold Refinery FZE** for any direct and indirect loss and damage incurred by it because of the same, upon the first written demand of **Tigris Gold Refinery FZE** and that all liability arising there from shall be solely assumed by it.

Declaration of Source of Metal	
Country of Origin	
Type of Gold	Primary Secondary
Weight /Quantity of metal	
Mode of Transport of Metal from Source of Gold	
Metal Type	

Name	Emirates ID	Contact Number	Signature